## **Syno**logy\*

**Product Manual** 

UC3400



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## Chapter 1: Before You Start

Thank you for purchasing this Synology product! Before setting up your new Synology Unified Controller, please check the package contents to verify that you have received the items below. Also, make sure to read the safety instructions carefully to avoid harming yourself or damaging your Synology Unified Controller.

#### Notes:

 All images below are for illustrative purposes only, and may differ from the actual product.

## 1.1 Package contents

Contact your reseller if your package is missing any of the below contents:

Main unit x 1

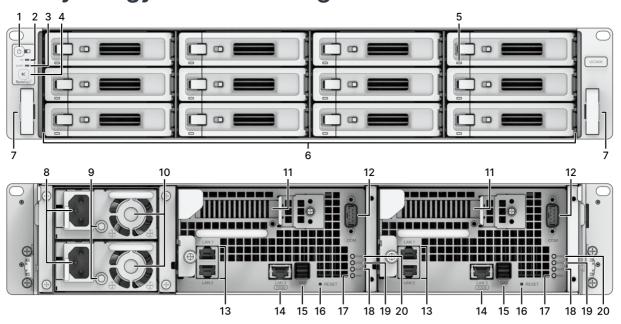
AC power cord x 2

Screws for 2.5" drives x 52

Screws for 3.5" drives x 52



## 1.2 Synology UC3400 at a glance



No.	Name	Description
1	Power Button and Indicator	<ol> <li>Press to power on your Synology Unified Controller.</li> <li>To power off your Synology Unified Controller, press and hold until you hear a beep sound and the Power LED starts blinking.</li> </ol>
2	HA Status Indicator	Displays the status of the HA (high availability). For more information, see "Other LED indicators".
3	ALERT Indicator	Displays warnings regarding fan or temperature. For more information, see "Other LED indicators".
4	Beep Off Button	Press to deactivate the beep that sounds when a malfunction occurs.
5	Drive Status Indicator	Displays the status of drive. For more information, see "Other LED indicators".
6	Drive Tray	Install drives (hard disk drives or solid state drives) here.
7	Rail Kit Release Tab	Push in and hold to release your Synology Unified Controller from a rail kit lock. <sup>1</sup>
8	Power Port	Connect power cord here.
9	PSU Indicator	Displays the status of the power supply unit (PSU). For more information, see "Other LED indicators".
10	PSU Fan	Disposes of excess heat and cools the PSU.

11	PCI Express Expansion Slot	Supports the addition of PCIe network interface card.
12	Console Port	This port is used during manufacturing only.
13	1GbE LAN Port	Connect RJ-45 network cable here.
14	10GBase-T LAN Port	Connect RJ-45 network cable here.
15	SAS OUT Port	Connect to Synology Expansion Unit <sup>2</sup> here.
16	RESET Button	<ol> <li>Mode 1: Press and hold until you hear a beep sound to restore the IP address, DNS server, and password for the admin account to default.</li> <li>Mode 2: Press and hold until you hear a beep, release the button immediately, then press and hold again within 10 seconds to reinstall DSM UC.</li> <li>For detailed information on how to reset your Synology Unified Controller, please refer to this article.</li> </ol>
17	Remove Indicator	Displays the status indicating whether the controller is removable. For more information, see "Other LED indicators".
18	Alert Indicator	Displays warnings regarding fan or temperature of the controller.  For more information, see "Other LED indicators".
19	Status Indicator	Displays the status of the controller. For more information, see "Other LED indicators".
20	Power Indicator	Displays the status of the power supply of the controller. For more information, see "Other LED indicators".

- 1. For more information about the rail kit installation, please refer to the Quick Installation Guide that comes with the rail kit.
- 2. For more information about Synology Expansion Unit supported by your Synology Unified Controller, please visit Synology's official website.

## 1.3 System modes and LED indicators

### System modes definitions

There are 5 system modes in Synology Unified Controller. The System modes and their definitions are as below:

System mode	Definition
Powering on	Synology Unified Controller is powering on when you press the power button or restarting when you run operations in DSM UC. During the boot up process, the device also performs hardware initialization, such as hardware reset or BIOS initialization.
Shutting down	Synology Unified Controller is shutting down as a result of pressing the power button or operation in DSM UC.
	DSM UC is not ready for use. This could either be:
	<ul> <li>Synology Unified Controller is powered on, but DSM UC is not properly installed.</li> </ul>
DSM UC not ready	<ul> <li>Synology Unified Controller is currently powering on and initializing services necessary for DSM UC to fully function.</li> </ul>
	<ul> <li>The attached UPS device has insufficient power; DSM UC stops all services to prevent data loss (enters safe mode).</li> </ul>
DSM UC is ready for use	DSM UC is fully functioning, and users can sign in.
Powered off	Synology Unified Controller is powered off.

### **Identify System Modes**

You can identify the system mode through the power and controller's status LED indicators. Please refer to the table below for more details.

System Mode	Power LED	Controller Status LED		
	Blue	Green	Orange	
Powering on	Blinking	Off	Off	
Shutting down	Blinking	Static	Off	
DSM UC not ready	Static	Blinking	Off	
DSM UC is ready for use	Static	Static	Off	
Powered off	Off	Off	Off	

#### **Transitions between System Modes**

To better understand the transition between system modes, please refer to the examples below:

#### • Powered on with no DSM UC installed:

Powered off > Powering on > DSM UC not ready

#### • Powered on with DSM UC installed:

Powered off > Powering on > DSM UC not ready > DSM UC is ready for use

#### · Shutdown:

DSM UC is ready for use > Shutting down > Powered off

#### • Power failure with UPS attached:

DSM UC is ready for use > DSM UC not ready (due to power failure, DSM UC enters safe mode) > Shutting down > Powered off > Powering on (power has recovered, DSM UC will reboot) > DSM UC not ready > DSM UC is ready for use

#### 1.4 Other LED definitions

Location	LED Indicator	Color	Status	Description
Front Panel	anel	Orange <sup>1 2 3</sup> Blinking		Fan failure/One controller is overheating while the other is normal
				Volume degraded/Both controllers are overheating
		0,55		System normal
	Off		II	Both controllers are booting up
	НА	Green Static  Orange Blinking		Both controllers are powered on and HA (high availability) status is normal
				Both controllers are installed and powered on, but HA (high availability) status is abnormal/Volume degraded
				Both controllers are installed, one of the controllers is powered on while the other is booting up

				Only one controller is installed and powered on
		Off		Both controllers are booting up
				Only one controller is installed and booting up
		Green	Static	Drive ready and idle
		Green	Blinking	Accessing drive
				Locating drive
	Drive			Drive deactivated by user
	status	Red <sup>4</sup>	Static	Port disabled <sup>5</sup>
				Drive health status is Critical or Failing
		С	off	No internal Drive
	PSU Indicator	Green	Static	Power supply unit normal
		Off		Power supply unit off
	1GbE LAN (on left side of jack)	Green	Static	Network connected
		Off		No network
	1GbE LAN (on right side of jack)	Croon	Static	Network connected
		Oreen	Blinking	Network active
Back Panel		Off		No network
	10GbE LAN	Green		10 Gbps connected
	(on left	Orango	Static	5/2.5/1 Gbps connected
	side of jack)	Orange		100 Mbps connected
	judiki	Off		No network
	10GbE LAN (on right side of	Green	Static	Network connected
			Blinking	Network active
	jack)	Off		No network

	Power	Green	Static	Power supply normal
			Blinking	Booting up/Shutting down
		Off		Powered off
Controllers		Green	Static	Volume normal/Volume not created
	Status	Red	Blinking	Volume degraded/Volume crashed
		Off		Both controllers are booting up/shutting down
	Alert	Red	Blinking	Volume degraded/Fan failure/Over temperature
		Off		System normal
	Remove	Green	Static	Controllers ready to be removed
		Off		Controllers cannot be removed

- 1. If one controller is powered on while the other is booting up, the ALERT indicator will display the controller's status that is powered on.
- 2. If the system contains only one controller, the ALERT indicator will only display that controller's status.
- 3. If the **ALERT LED** continuously blinks orange, this indicates there are system errors such as fan failure, system overheating, or a volume that is degraded. Please sign in to DSM UC for detailed information.
- 4. When the drive LED indicator is red, we recommend you sign in to DSM UC and go to **Storage Manager** > **HDD/SSD** for more information.
- 5. Please try to restart your Synology Unified Controller or re-insert the drives, then run the HDD/SSD manufacturer's diagnostic tool to check the health status of the drives. If you can sign in to DSM UC, please run the built-in S.M.A.R.T. test to scan the drives. If the problem remains unresolved, please contact Synology Technical Support for help.

## 1.5 Hardware Specification

Item	UC3400
CPU	Intel Xeon D-1541 (per controller)

RAM	DDR4 8 GB (per controller)		
Compatible Drive Type	3.5"/2.5" SAS x 12		
External Port	Expansion Port x 1 (per controller)		
LAN Port	<ul> <li>1GbE (RJ-45) x 2 (per controller)</li> <li>10GBase-T (RJ-45) x 1 (per controller)</li> </ul>		
PCIe Slot	Gen3 x8 slot (x8 link) x 1 (per controller)		
Size (H x W x D) (mm)	• 88 x 430.5 x 692 • 88 x 482 x 724 (with server ears)		
Weight (kg)	19.7		
Agency Certification	• FCC Class A • CE Class A • UKCA • BSMI Class A • EAC • VCCI • CCC • RCM • KC		
Environment Requirement	<ul> <li>Line voltage: 100V to 240V AC</li> <li>Frequency: 50 / 60Hz</li> <li>Operating Temperature: 32°F to 95°F (0°C to 35°C)</li> <li>Storage Temperature: -5°F to 140°F (-20°C to 60°C)</li> <li>Relative Humidity: 5% to 95% RH</li> </ul>		

1. Model specifications are subject to change without notice. Refer to Synology website for the latest information.

## **1.6 Spare Parts**

Refer to Spare Parts if you need to replace system fans, disk trays, or power supply units.

Model Name	Picture	Description
Disk Tray (Type R7)		3.5"/2.5" Drive Tray

FAN 60*60*51_2	System Fan 60*60*51 mm
PSU 500W-RP Module_2	Redundant PSU Module 500W
PSU 500W-RP SET_2	Redundant PSU Set 500W
UC3400 Module	Main Board Module
RKS-02 <sup>1</sup>	Sliding rails

1. Please refer to the Quick Installation Guide for detailed information and carefully install the rail kit.

## 1.7 Optional Accessories

With Synology accessories, you can customize your Synology Unified Controller to fit different business environments without worrying about compatibility and stability. Refer to Synology Products Compatibility List for more information.

# Chapter 2: Hardware Components Installation

#### 2.1 Recommended tools

This section lists the required and optional tools used in the installation procedures.

- 1. Phillips #2 screwdriver (with a cross tip)
- 2. At least one 3.5" or 2.5" SAS drive (See here for compatible models)

#### Caution:

• If you install a drive that contains data, the system will format the drive and erase all existing data. Please back up any important data before installation.

#### Notes:

1. If an automatic screwdriver is used, set its torque reading to 4.0 (+/-0.3) kgf-cm.

### 2.2 Precautions

Before installing or removing any components in your Synology Unified Controller, review all safety information.

#### Caution:

- 1. Leaving stray or loose screws inside your Synology Unified Controller may severely damage its components. Avoid dropping any metallic objects into the Synology Unified Controller, such as paper clips and hairpins.
- 2. Do not pull a cable by its connector or its pull tab when disconnecting it. Certain cables have connectors with locking tabs or thumb-screws that must be released before disconnecting the cable. When disconnecting a cable, keep it evenly aligned to avoid bending the connector pins. When connecting a cable, make sure that the ports and the connectors are correctly oriented and aligned.
- 3. If you need to move your Synology Unified Controller, get someone to assist you. Do not attempt to lift it on your own to prevent injury.
- 4. Make sure that your Synology Unified Controller is working correctly before installing optional hardware components. If your Synology Unified Controller is not working correctly, see the "Troubleshooting" chapter to do basic troubleshooting. If the problem cannot be solved, see the section "Getting information, help, and service" for more information.
- 5. Always carefully handle system components (such as PCIe cards, memory modules, and system boards) by the edges or the frame. Do not touch solder joints, pins, or exposed circuitry.
- 6. Do not place parts and other components (such as PCle cards, memory modules, and system boards) on the top cover of the Synology Unified Controller or other metal surfaces.

#### Before working inside your Synology Unified Controller

- 1. Power off the Synology Unified Controller, including all attached peripherals.
- 2. Disconnect the Synology Unified Controller from the electrical outlet and disconnect the peripherals.

#### After working inside your Synology Unified Controller

- 1. Reconnect the peripherals and connect the Synology Unified Controller to an electrical outlet.
- 2. Power on the attached peripherals and then power on the Synology Unified Controller.

#### Preventing electrostatic discharge

Static electricity discharge from a finger or other conductors might lead to system halt, loss of data, or other damages to system boards or other static-sensitive components. This type of damage may also reduce the life expectancy of the Synology Unified Controller.

Prevent exposure to static electricity by doing the following:

- 1. Avoid hand contact by transporting and storing hardware components in static-safe containers.
- 2. Keep electrostatic-sensitive hardware components in their containers until they arrive at static-free workstations.
- 3. Place hardware components on a grounded surface or touch them before removing them from their containers.
- 4. Avoid touching joints, pins, leads, or circuitry.
- 5. Always be properly grounded when touching a static-sensitive hardware component or assembly.
- 6. When handling a hardware component, carefully hold it by the edges or the frame.
- 7. Use an electrostatic-discharge wrist strap or other ground systems. The Synology Unified Controller should be placed on an anti-static mat (ESD mat).
- 8. The Synology Unified Controller will provide standby power when it is connected to AC power even if it is powered off. Damage can be done to the Synology Unified Controller if a conductor is accidentally touched and parts are short-circuited under this condition. Before removing the top rear cover, make sure the AC power supply is disconnected.

## 2.3 Safety instructions



 Keep away from direct sunlight and chemicals. Ensure a stable environment with no abrupt changes in temperature or humidity.



• Place the unit right side up at all times.



· Keep the unit away from liquids.



• Before cleaning, unplug the power cord(s) and use a damp cloth to wipe the surface. Do not use chemical aerosol cleaners.



 To prevent the unit from falling over, do not place it on carts or any unstable surfaces.



• The power cord(s) must be plugged into the correct power supply voltage. Ensure that the AC voltage provided is correct and stable.



• Warning, electric shock hazard. To remove all electrical current from the device, ensure that all power cords are disconnected from the power source.



There is a risk of explosion if the battery is replaced with an incorrect type.
 Dispose of used batteries appropriately.



• Power cord(s) must be plugged into a socket-outlet with earthing connection.



• Keep your body parts away from a moving fan.



• This product must be installed by qualified personnel in a restricted access location (e.g., dedicated equipment rooms, service closets, etc.).

#### System reliability guidelines

To guarantee adequate system cooling and reliability, follow the system reliability guidelines listed below.

- 1. Leave adequate space around the Synology Unified Controller to ensure that its cooling system works well. Leave approximately 50 mm (2 inches) of open space around the front and rear of the Synology Unified Controller. Do not place objects in front of the fans.
- 2. For proper cooling and airflow, install the Synology Unified Controller cover before you power it on. Operating a Synology Unified Controller without its cover might damage Synology Unified Controller components.
- 3. If your Synology Unified Controller has air ducts or air baffles, do not remove them while the Synology Unified Controller is running. Operating the Synology Unified Controller without the air ducts or air baffles might cause your system to overheat.
- 4. Opening or removing the Synology Unified Controller top cover while the system is powered on may expose you to a risk of electric shock.
- 5. If you must power on the Synology Unified Controller without its top cover, ensure that no one is near the Synology Unified Controller and that no tools or other objects have been left inside it. Do not operate the system without Synology Unified Controller cover for a duration exceeding five minutes.
- 6. When replacing a hot-swap drive, install the new hot-swap drive as soon as possible.
- 7. Back up all important data before you make changes to drives.

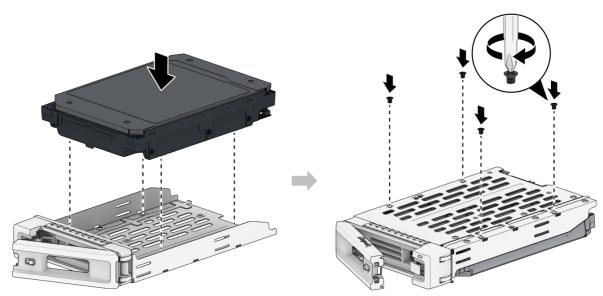
#### 2.4 Install drives

- 1. Open the drive tray.
  - a. Find the small button located at the left side of the drive tray handle. Press the button down, and the drive tray handle will pop out.

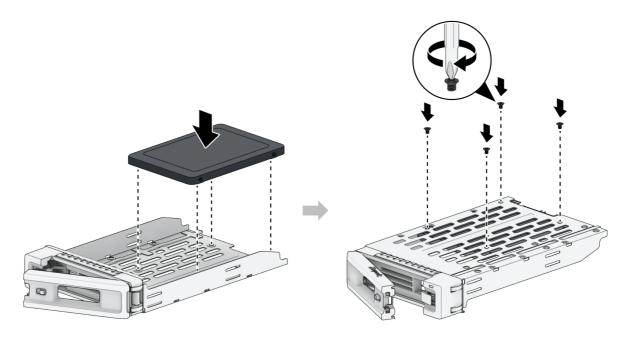
b. Pull the drive tray handle out as illustrated below.



- 2. Load drives in the drive trays.
  - For 3.5" drives: Place the drive in the drive tray. Turn the tray upside down and tighten the screws to secure the drive in place.



• For 2.5" drives: Place the drive in the area as shown below. Turn the tray upside down and tighten the screws to secure the drive in place.



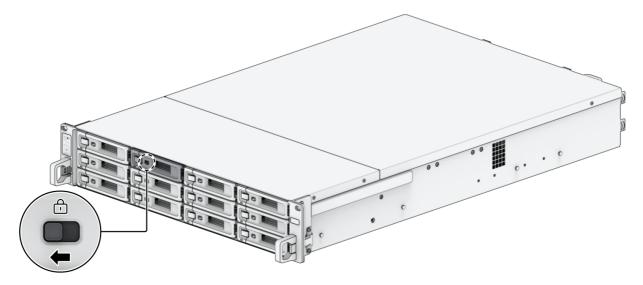
3. Insert the loaded drive tray into the empty drive bay.



#### Notes:

- Make sure the tray is completely inserted. Otherwise, the drive might not function properly.
- 4. Push the handle inward to secure the drive tray.

5. Push the switch on the drive tray handle to the left to lock the drive tray.



- 6. Repeat the steps above to install the other drives you have prepared.
- 7. Drives are numbered as shown below.



#### Notes:

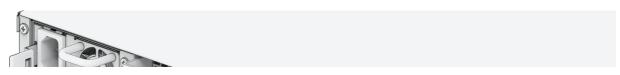
• If you plan to create a RAID volume, we recommend installing drives of identical size to make the best use of drive capacity.

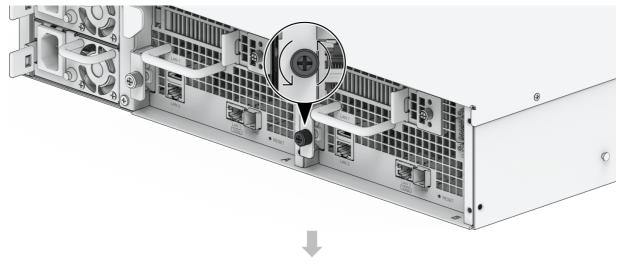
#### 2.5 Install PCle Add-in Cards

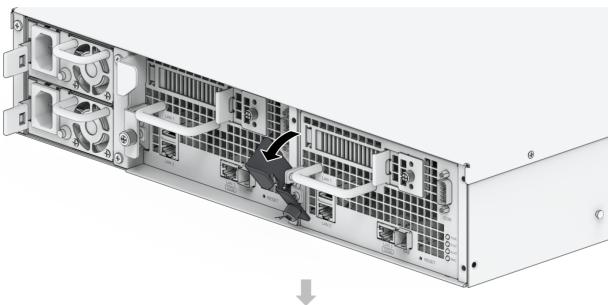
UC3400 provides two PCle x8 slot for optional add-in card expansion, allowing network interface cards to be installed.

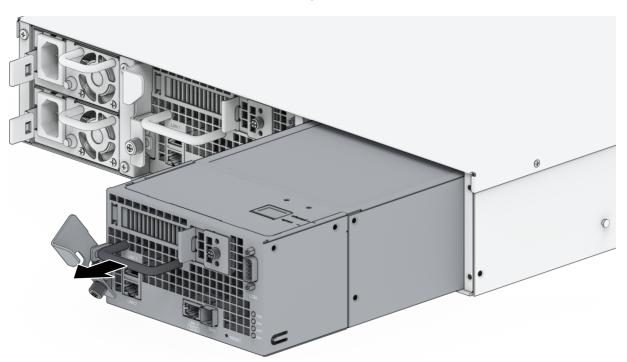
#### To install the network interface cards

- 1. Shut down your Synology Unified Controller. Disconnect all cables connected to your Synology Unified Controller to prevent any possible damage.
- 2. Loosen the screws that secure the controller on the back of your Synology Unified Controller by turning it counterclockwise. Pull the release lever to pull out one controller.

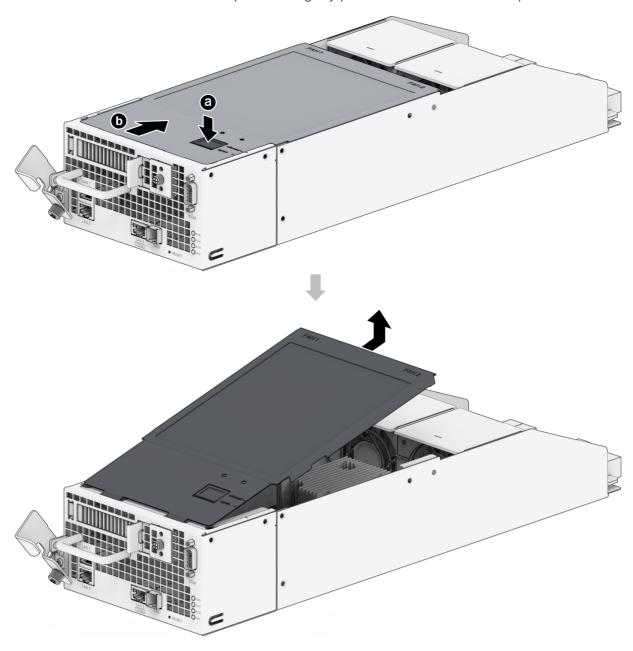






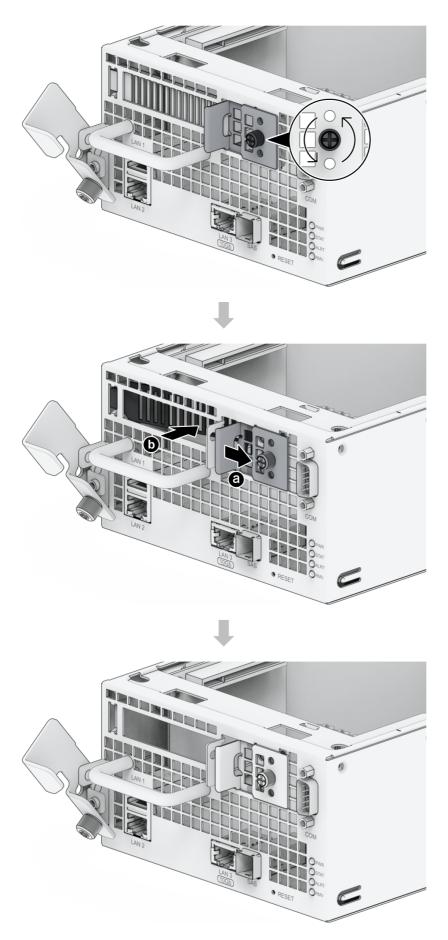


3. Press the release button on the top cover. Slightly push backward to lift the top cover.



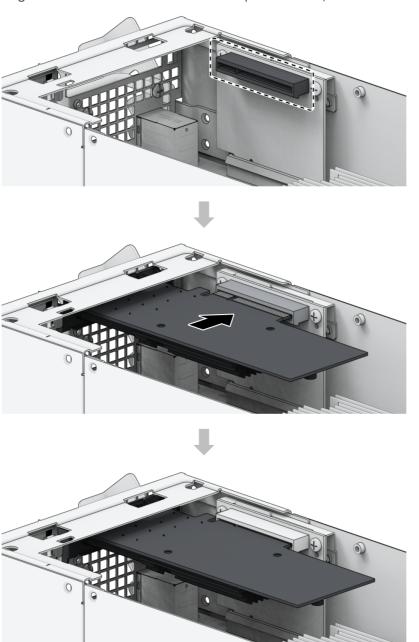
#### Notes:

- When you remove the top cover, sensitive internal components are exposed. Avoid touching anything other than the network interface cards when removing or adding them.
- 4. Loosen the screw that secures the expansion slot's cover by turning it counterclockwise, and move it to the right. Slightly push the expansion slot's cover to remove it.



5. Install the network interface card.

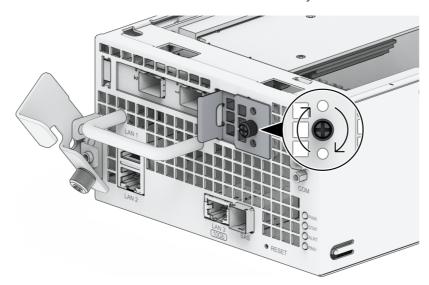
a. Align the card's connector with the expansion slot, and insert the card into the slot.



#### Notes:

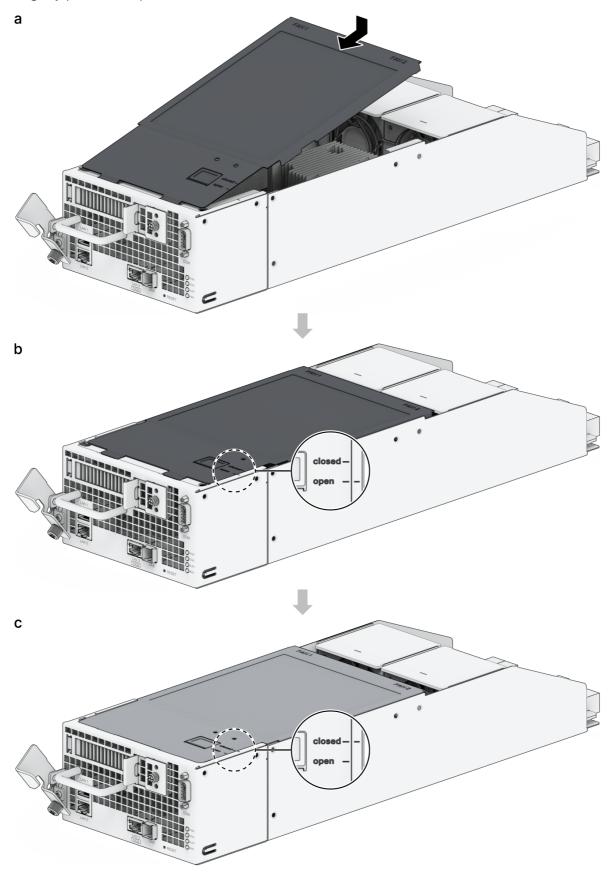
• Confirm that the connector is fully inserted. Otherwise, the network interface card might not be able to function properly.

b. Turn the screw clockwise to secure the newly inserted card.

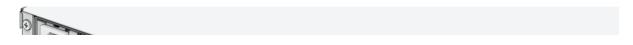


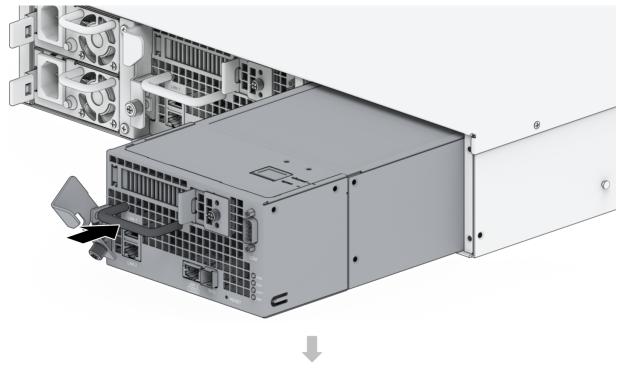
- 6. Repeat the steps above to install the other network interface card for the other controller.
- 7. Place the top cover back and slide it into secure position.
  - a. Align the front side of top cover with the slots on the chassis' edge.
  - b. Align the notch on the chassis to the "open" next to the release button.

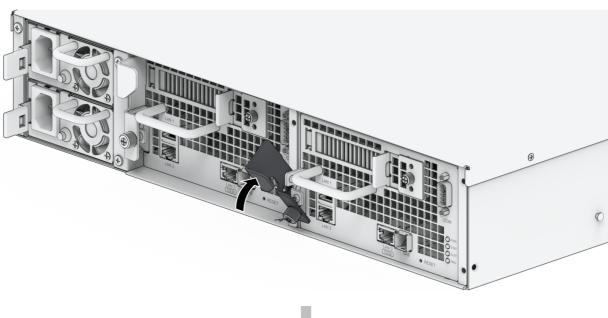
c. Slightly push the top cover back to "closed".

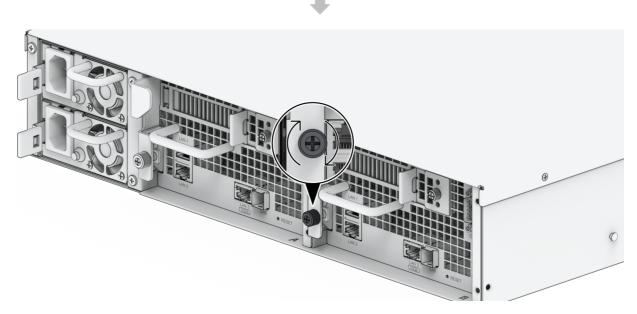


8. Insert the controller back in your Synology Unified Controller. Push back the release lever and turn the screw clockwise to secure the controller.











• A list of Synology-compatible network interface cards can be found here.

## 2.6 Add Memory Modules to Synology Unified Controller

Optional Synology memory modules are designed to expand the memory of your Synology Unified Controller. Follow the steps below to install, check, or remove a memory module on your Synology Unified Controller.

The following table shows the memory configuration for best practice:

AO	<b>A</b> 1	ВО	B1	Total Memory
8 GB	-	_	_	8 GB
16 GB	-	-	-	16 GB
8 GB	-	8 GB	-	16 GB
8 GB	8 GB	8 GB	-	24 GB
8 GB	8 GB	8 GB	8 GB	32 GB
16 GB	-	16 GB	-	32 GB
8 GB	8 GB	16 GB	16 GB	48 GB
16 GB	16 GB	16 GB	-	48 GB
16 GB	16 GB	16 GB	16 GB	64 GB

#### Notes:

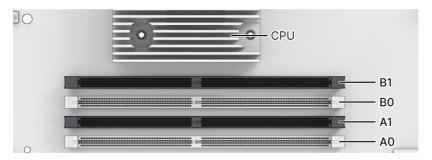
 No product warranty or technical support will be provided by Synology if a non-Synology memory module is used for memory upgrade. A list of Synology-compatible memory modules can be found here.

#### To install the RAM module

1. Follow steps 1 to 3 of the "To install the network interface cards" section to shut down your Synology Unified Controller, disconnect the cables, pull out one controller, and then remove

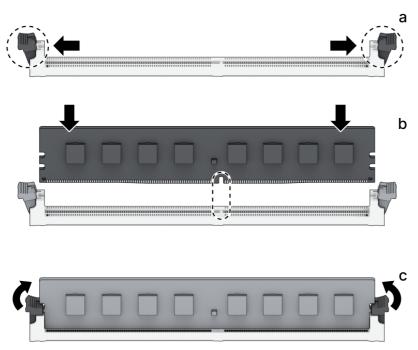
the top cover as described above.

2. The memory slots are labeled as below.



#### Notes:

- When you remove the top cover, sensitive internal components are exposed. Avoid touching anything other than the memory assembly when removing or adding memory.
- 3. Insert the new memory module in the slot.
  - a. Push the retaining clips on the slot out to the sides.
  - b. Align the notch on the gold edge of the module with the notch in the memory slot.
  - c. Apply firm and even pressure to push down the memory module. The retaining clips will snap into position when the module is inserted correctly.



#### Notes:

• Hold the memory module by its edges and avoid touching the gold connectors.

4. Put back the top cover and insert the controller back in your Synology Unified Controller by following steps 7 and 8 of the "To install the network interface cards" section.

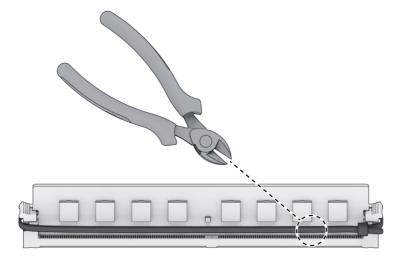
## To confirm that your Synology Unified Controller recognizes the new memory capacity

- 1. Sign in to DSM UC as admin or a user belonging to the administrators group.
- 2. Go to Control Panel > Info Center and check Total Physical Memory.

If your Synology Unified Controller does not recognize the memory or does not start up successfully, please make sure that the memory module is installed correctly.

#### To remove the RAM Module

- 1. Follow steps 1 to 3 of the "To install the network interface cards" section to shut down your Synology Unified Controller, disconnect the cables, pull out one controller, and then remove the top cover as described above.
- 2. Cut the cable tie before removing the memory module. (The pre-installed memory module is cable-tied to prevent it from loosening during delivery.)



#### Notes:

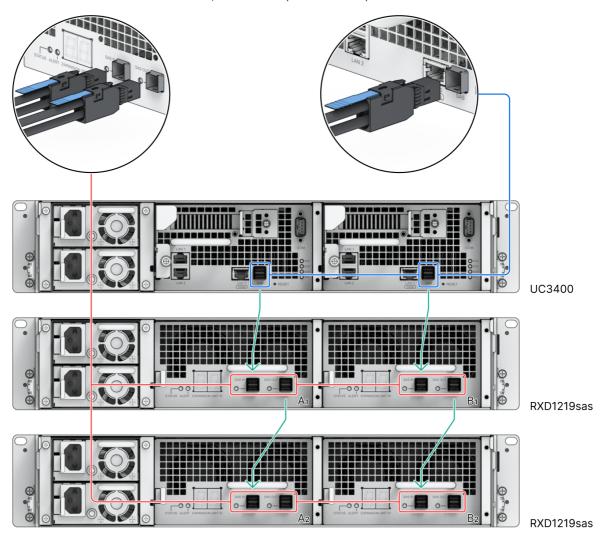
- Avoid touching any components on the motherboard when cutting the cable tie.
- 3. Push the retaining clips on the slot out to the sides. The memory module will pop out of the slot.
- 4. Put back the top cover and insert the controller back in your Synology Unified Controller by following steps 7 and 8 of the "To install the network interface cards" section.

## 2.7 Expansion Unit Deployment and Topology

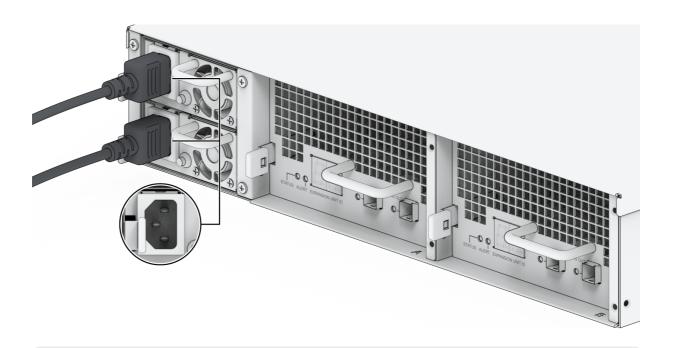
UC3400 supports RXD1219sas expansion unit, providing seamless storage expansion whenever needed. This section will guide you through how to connect the expansion units to your Synology Unified Controller.

#### To connect RXD1219sas with UC3400

- 1. Connect RXD1219sas with UC3400.
  - a. Connect one end of the mini-SAS HD cable to the SAS port of your UC3400 and the other to the SAS-IN port of the RXD1219sas. When plugging the cable into the SAS port of your UC3400 and the SAS-IN port of the RXD1219sas, make sure the release bands (in blue) of the cable face upwards; otherwise, the UC3400 and the RXD1219sas will not be correctly connected.
  - b. Connect one end of the mini-SAS HD cable to the SAS-OUT port on SAS module A of an expansion unit (e.g., module A1), and the other end to the SAS-IN port on SAS module A of the next expansion unit (e.g., module A2).
  - c. To connect the other controller, follow the previous step.



2. Connect the power cord(s) to the power port of RXD1219sas, and the other to the power outlet(s).



- UC3400 supports up to 2 expansion units.
- RXD1219sas comes equipped with a redundant power supply system, allowing two
  power cords to be connected. The system may be powered on with only one
  power cord, but we recommend using two power cords to avoid unexpected power
  failures.
- After you unplug the power cord, please make sure all indicators are off before plugging in the power cord again. Otherwise, the system may fail to boot.
- Do not remove the expansion cable while the host device is still powered on. Doing so may result in data loss.
- 3. Press the power button on the front panel to power on your UC3400. The expansion unit ID of RXD1219sas connected to UC3400 will be displayed in sequence on the back panel.



UC3400



RXD1219sas



RXD1219sas

#### Notes:

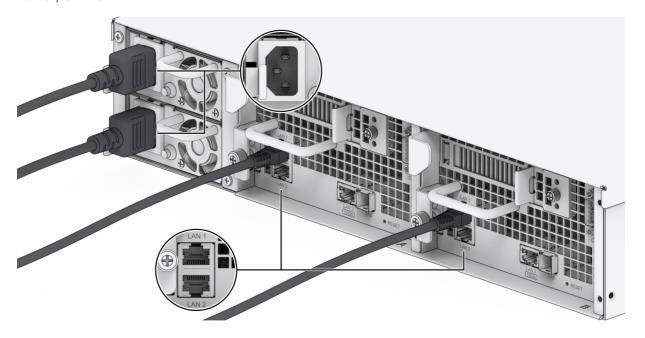
- When your Synology Unified Controller powers on/off, correctly connected expansion units will automatically power on/off in the order that they were installed.
- With your Synology Unified Controller and expansion units powered on, it is
  possible to connect additional expansion units when needed. To do so, repeat the
  above steps to connect the expansion cables and power cords of the additional
  expansion units. Your expansion units will automatically power on if they are
  connected correctly and will display their expansion unit IDs within a few seconds.
- The actual number of expansion units that can be connected depends on the performance limitations and specifications of the Synology Unified Controller.
- To power off your expansion unit, please power off your Synology Unified Controller first, then unplug the power cords.
- If any expansion unit malfunctions, please remove the malfunctioning expansion unit and replace it with a new one by following the steps in "Connect with Synology Unified Controller".

## Chapter 3: Initial System Setup

## 3.1 Start up Your Synology Unified Controller

#### To start up your Synology Unified Controller

- 1. Connect one end of each power cord to the power ports located on the back of the Synology Unified Controller and the other end to a power outlet.
- 2. Connect at least one LAN cable to one of the LAN ports and the other end to your switch, router, or hub.



3. Press the power button to turn on the Synology Unified Controller.



- To turn on both controllers, press the power button.
- To turn off both controllers, press and hold the power button until you hear a beep sound and the Power LED indicator starts blinking.
- If one controller is powered on and the other is powered off, press the power button and the controller that is powered off will be booting up while the other will not be affected.
- If either of the controllers is powered on, press the power button and hold for about 10 seconds and the system will be forced to shut down.

#### 3.2 Learn More

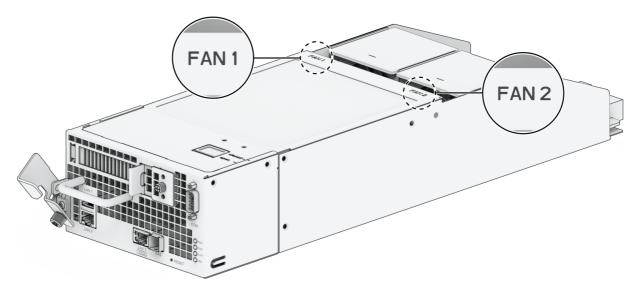
Your UC3400 is now ready for action. For more information or online resources about your UC3400, please visit Synology's official website, which contains step-by-step tutorials and frequently asked questions.

## Chapter 4: System Maintenance

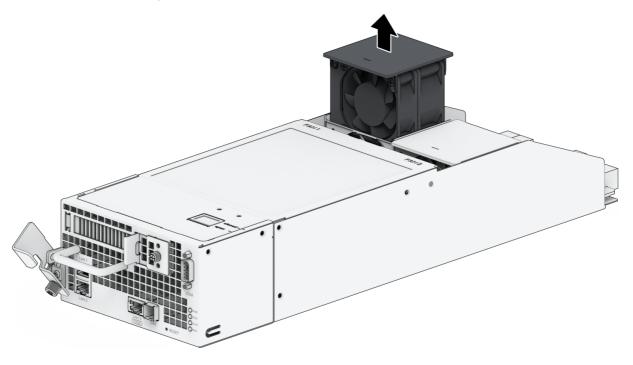
## 4.1 Replace the Malfunctioning Fan

If a system fan malfunctions, please see the instructions below to open the Synology Unified Controller and replace the malfunctioning fan.

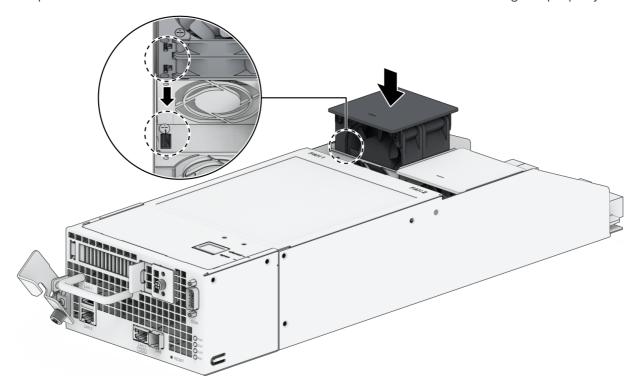
- 1. Follow steps 1 and 2 of the "To install the network interface cards" section to shut down your Synology Unified Controller, disconnect the cables, and then pull out one controller.
- 2. Fans are numbered as shown below.



3. Find the malfunctioning fan. Lift up the fan to remove it.



4. Prepare a new fan and slide it into the controller. Make sure that the fan is aligned properly.



## 4.2 Replace Power Supply Unit (PSU)

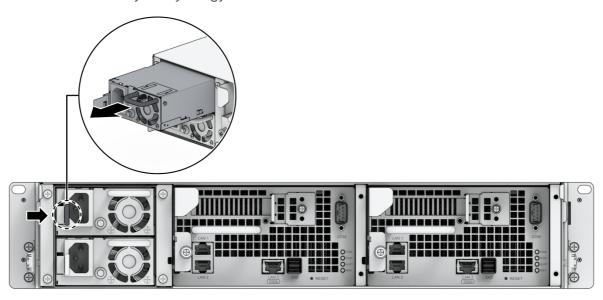
If a PSU or its fan malfunctions, please follow the steps below to replace the malfunctioning PSU.

1. Unplug the power cord from the PSU that is to be replaced.

#### Notes:

- By pressing the **Beep Off** button, you can silence the long beep when it sounds.
- 2. Remove the malfunctioning PSU.
  - a. On the rear panel, push the lever of the PSU inward as shown.

b. Pull the PSU out of your Synology Unified Controller.



3. Prepare a new PSU. Insert it into the empty slot until you hear a click.

# Chapter 5: Troubleshooting

### **CAUTION:**

 You should only perform troubleshooting and repairs as authorized or directed by the Synology Technical Support team.

#### **Notes:**

 This chapter describes possible issues that may occur. Not every issue will occur on your Synology Unified Controller. Please refer to the corresponding sections for troubleshooting steps specific to the issue that has occurred.

## 5.1 Troubleshooting checklist

Before doing any troubleshooting or repair tasks, use the following checklist to find possible causes for hardware issues on your Synology Unified Controller.

- 1. Is the Synology Unified Controller connected to a functional AC power outlet?
- 2. Is the Synology Unified Controller turned on?
- 3. Is the POWER LED light on?
- 4. Is the STATUS LED light on?
- 5. Is the ALERT LED light blinking?
- 6. Verify that all cables are not loose or incorrectly connected.
- 7. If you have recently added non-hot swappable hardware components (e.g., memory modules, NIC, PCIe cards), remove the component and verify if the Synology Unified Controller functions properly without it.
- 8. Are you operating the latest version of DSM UC?
- 9. If you are unable to connect to your Synology Unified Controller through a network, change the network cable and try to connect again. (For troubleshooting purposes ensure you are directly connecting to your Synology Unified Controller without a router, switch, or hub.)

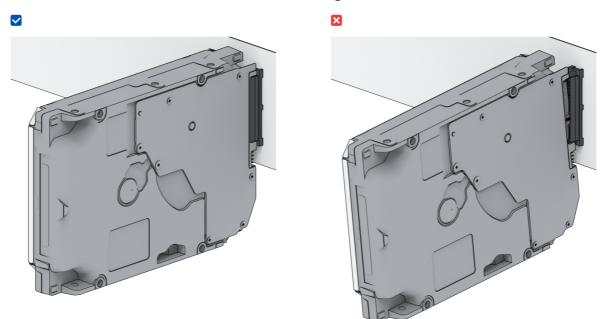
## 5.2 Common issues resolution

The following issues are discussed in this section:

- Unable to recognize hard drive(s)
- Unable to connect to the network
- Unable to recognize Expansion Unit
- Unable to recognize PCIe Network Interface Card or unable to connect
- Alert LED is blinking continuously
- Unable to power on after installing RAM
- Unable to finish powering on (power LED is blinking continuously)
- Unable to finish powering on with no drives installed
- No power after pressing the power button
- Unable to power on after connecting UPS

### Unable to recognize hard drive(s)

- 1. Confirm that you are using a hard drive listed in the Synology Products Compatibility List.
- 2. Remove the hard drive from the Synology Unified Controller and do a visual inspection.
  - a. Confirm that the hard drive connector is not damaged or crooked.



- 3. Replace the hard drive with a new one, then reboot the Synology Unified Controller to see if the problem is caused by the removed drive.
- 4. Remove the top cover and check that the power supply and connectors on the HDD backplane are properly plugged in.

#### Notes:

- When the Synology Unified Controller is powered on, do not touch any parts of the PCB with your hands or tools.
- 5. If, after following the above troubleshooting steps and the issue is still unresolved, contact Synology Technical Support for further assistance.

### Unable to connect to the network

- 1. Restart the system and confirm that the network status and configuration are correct.
- 2. Check whether the network cable is loose or damaged, and replace it with a working network cable.
- 3. Connect the network cable to another port on the switch or hub.
- 4. Connect the network cable directly to a PC to confirm whether the Synology Unified Controller can be connected to normally.
- 5. Check the interior and exterior of the network port for dust or damage.

 $[\mathsf{x}]$ 



6. Check the interior of the network port for damaged or skewed pins.

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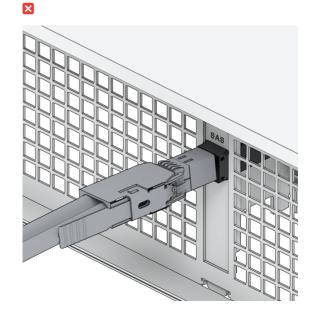


7. If, after following the above troubleshooting steps and the issue is still unresolved, contact Synology Technical Support for further assistance.

## Unable to recognize Expansion Unit

- 1. Check that the expansion unit is compatible with your Synology Unified Controller.
- 2. Do a visual inspection and check whether the expansion port is rusted, which may cause a bad connection.
- 3. Do a visual inspection and check whether there is a foreign matter inside the expansion port or broken.
- 4. Check that the connecting expansion cable is properly positioned and securely connected to the expansion unit devices.





- 5. Check that the power supply for the expansion unit is plugged in and providing power.
- 6. Replace the expansion cable with a cable that has been confirmed to work properly.
- 7. If, after following the above troubleshooting steps and the issue is still unresolved, contact Synology Technical Support for further assistance.

### Unable to recognize PCle Network Interface Card or unable to connect

Please refer to "Install Network Upgrade Module" and verify that your network upgrade module is correctly installed.

## Alert LED is blinking continuously

When the Alert LED flashes continuously, and DSM UC shows "The temperature of the Synology Unified Controller is too high. If the overheating issue persists, your Synology Unified Controller may shut down to avoid hardware malfunctions" or "Fan on the Synology Unified Controller has stopped working" messages, please refer to "Replace System Fan" and verify that your fan is correctly installed and functional.

## Unable to power on after installing RAM

Please refer to "Add a Memory Module to Synology Unified Controller" and verify that your memory module is correctly installed.

## Unable to finish powering on (power LED is blinking continuously)

- 1. Statically discharge yourself.
- 2. Make sure your Synology Unified Controller is powered off.

- 3. Remove all attached external devices (mini-SAS HD cable, Ethernet, etc.), installed drives, and any additional installed RAM (3rd party RAM is not supported).
- 4. Power up the Synology system and wait 10 minutes before proceeding to the next step.
- 5. Check the status of the power button LED light. For more information on LED definitions, please see "System modes and LED indicators".
  - a. If the power LED continues to blink or remains powered off, unfortunately, that means the Synology Unified Controller has experienced a hardware failure. Please follow the instructions in "Unable to finish powering on with no drives installed".
  - b. If the power LED is static, please follow the instructions in this tutorial to troubleshoot drive issues.

### Notes:

- If the power LED turns static, the ALERT light will likely be blinking orange, and the Synology Unified Controller will start beeping — this is normal and expected behavior.
   Once you finish testing all of the drives, it should stop doing this unless one or more of your drives are faulty.
- Being able to ping the unit doesn't mean anything of note other than that the LAN
  ports are functional. To properly do the above testing, ensure that the power LED goes
  static and does not continue blinking.

## Unable to finish powering on with no drives installed

- 1. Finish all steps in the section "Unable to finish powering on (power LED is blinking continuously)".
- 2. Follow the steps below and do an internal check:
  - a. Unplug the power cord(s).
  - b. Remove any installed extended memory and PCle card. Please follow the instructions in "Add a Memory Module to Synology Unified Controller" and "Install Network Upgrade Module".
  - c. Confirm that motherboard is properly installed and is Synology-manufactured.
  - d. Clean up and reinstall the memory modules. Make sure that memory slots and memory modules are free of dust, dirt, and other foreign matter.
- 3. If there is dust and dirt buildup inside the Synology Unified Controller, clean it before trying the power-on process.
- 4. If, after following the above troubleshooting steps and the issue is still unresolved, contact Synology Technical Support for further assistance.

### No power after pressing the power button

Do the following basic environmental check:

- 1. Confirm that the power cord(s) is functioning normally and that it is not damaged.
- 2. Confirm that the power cord(s) is properly plugged at both the power socket and the Synology Unified Controller.
- 3. Confirm that the AC power supply meets the voltage requirements (110V 220V). If a UPS is connected to the Synology Unified Controller, disconnect it temporarily, and use AC power instead.
- 4. Remove all external I/O devices (such as mini-SAS HD cable and LAN). Retain only the power supply and confirm that the Synology Unified Controller can power on properly.

If the Synology Unified Controller is still unable to power on after doing the environmental check, follow the steps below and do an internal check of your Synology Unified Controller.

- 1. Remove the power cord(s) and remove the top cover.
- 2. Confirm that the LED cables are properly plugged in.
- 3. Confirm that PSU connectors are properly plugged in.
- 4. Remove any installed extended memory and PCle card. Please follow the instructions in "Add a Memory Module to Synology Unified Controller" and "Install Network Upgrade Module".
- 5. Check that there is no dust buildup on internal components; if there are, it needs to be cleaned.
- 6. Reconnect the power cord(s) and try to power on the Synology Unified Controller again.
- 7. If, after following the above troubleshooting steps and the issue remains unresolved, contact Synology Technical Support for further assistance.

## Unable to power on after connecting UPS

- 1. Check that the power cord(s) for the UPS and the Synology Unified Controller is properly plugged in.
- 2. Check that the power provided by the UPS is stable.
- 3. Connect the power cord(s) of the Synology Unified Controller directly to the AC power outlet and confirm that it can power on.
- 4. Confirm that the UPS system is compatible with the Synology Unified Controller.
- 5. If, after following the above troubleshooting steps and the issue is still unresolved, contact Synology Technical Support for further assistance.

## 5.3 Before you contact Synology Technical Support

Before you contact Synology Technical Support, follow the below instructions to try to solve the issue:

- Use the troubleshooting information in this manual.
- Follow the instructions in the "Troubleshooting checklist".
- Check for the updated information, new device drivers, and hints and tips on the Synology Knowledge Center.

If possible, be at your product when you contact Synology Technical Support and have the following information available:

- · Product Model Name
- Serial numbers of your Synology products
- · Description of the problem
- · Exact wording of any error messages
- Hardware and software configuration information

## 5.4 Contacting Synology Technical Support

During the warranty period, you can get help and information through the Synology Technical Support. Trained service personnel are available to assist you in determining a hardware problem and deciding what action is necessary to fix the problem.

The warranty does not cover the following:

- Replacement or use of parts not manufactured for or by Synology or non-warranted Synology parts
- · Identification of software problem sources
- Installation and maintenance of application programs

If it is determined that replacement services are necessary, please contact the local reseller or distributor where you purchased the product for further assistance. (Synology does not provide direct replacement services outside North America.)

### Notes:

• For more information about on Synology's warranty policies, please refer to this article.

## 5.5 Getting information, help, and service

Synology publishes a wide range of supporting documentation.

In Knowledge Base, you will find useful Help and FAQ articles, as well as video tutorials, breaking up processes into handy steps.

Also, you can find User's Guides, Solution Guides, brochures, and White Papers. Experienced users and administrators will find answers and guidance in Developer Guides.

Got a problem and unable to find the solution in our official documentation? Search hundreds of answers by users and support staff in Synology Community or reach Synology Technical Support through the web form, email, or telephone.

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Section 18. Dispute Resolution. Any dispute, controversy or claim arising out of or relating to this EULA will be resolved exclusively and finally by arbitration conducted by three neutral arbitrators in accordance with the procedures of the Arbitration Law and related enforcement rules of the country in which Synology Inc. was organized. In such cases, the arbitration will be limited solely to the dispute between you and Synology. The arbitration, or any portion of it, will not be consolidated with any other arbitration and will not be conducted on a class-wide or class action basis. The arbitration shall take place in Taipei and the arbitration proceedings shall be conducted in English or, if both parties so agree, in Mandarin Chinese. The arbitration award shall be final and binding on the parties and may be enforced in any court having jurisdiction. You understand that, in the absence of this provision, you would have had a right to litigate any such dispute, controversy or claim in a court, including the right to litigate claims on a class-wide or class-action basis, and you expressly and knowingly waive those rights and agree to resolve any disputes through binding arbitration in accordance with the provisions of this Section 18. Nothing in this Section shall be deemed to prohibit or restrict Synology from seeking injunctive relief or seeking such other rights and remedies as it may have at law or equity for any actual or threatened breach of any provision of this EULA relating to Synology's intellectual property rights.

Section 19. Attorneys' Fees. In any arbitration, mediation, or other legal action or proceeding to enforce rights or remedies under this EULA, the prevailing party will be entitled to recover, in addition to any other relief to which it may be entitled, costs and reasonable attorneys' fees.

Section 20. Severability. If any provision of this EULA is held by a court of competent jurisdiction to be invalid, illegal, or unenforceable, the remainder of this EULA will remain in full force and effect.

Section 21. Entire Agreement. This EULA sets forth the entire agreement of Synology and you with respect to the Software and the subject matter hereof and supersedes all prior and contemporaneous understandings and agreements whether written or oral. No amendment, modification or waiver of any of the provisions of this EULA will be valid unless set forth in a written instrument signed by the party to be bound thereby.

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THIS LIMITED WARRANTY ("WARRANTY") APPLIES TO THE PRODUCTS (AS DEFINED BELOW) OF SYNOLOGY, INC. AND ITS AFFILIATES, INCLUDING SYNOLOGY AMERICA CORP, (COLLECTIVELY, "SYNOLOGY"). YOU ACCEPT AND AGREE TO BE BOUND BY THE TERMS OF THIS WARRANTY BY OPENING THE PACKAGE CONTAINING AND/OR USING THE PRODUCT. PLEASE BE ADVISED THAT THIS LIMITED WARRANTY DOES NOT APPLY TO THE SOFTWARE CONTAINED IN THE PRODUCTS WHICH SHALL BE SUBJECT TO ITS END USER LICENSE AGREEMENT, AND THAT SYNOLOGY RESERVES THE RIGHT TO MAKE ADJUSTMENTS AND/OR MODIFICATION TO THIS PRODUCT WARRANTY FROM TIME TO TIME WITHOUT PROVIDING PRIOR NOTICE TO YOU. IF YOU DO NOT AGREE TO THE TERMS OF THIS WARRANTY, DO NOT USE THE PRODUCT. INSTEAD, YOU MAY RETURN THE PRODUCT TO THE RESELLER WHERE YOU PURCHASED IT FOR A REFUND IN ACCORDANCE WITH THE RESELLER'S APPLICABLE RETURN POLICY.

PLEASE NOTE THAT SYNOLOGY'S WARRANTY SUPPORTS ARE NOT AVAILABLE IN EVERY COUNTRY, AND THAT SYNOLOGY MAY REFUSE TO PROVIDE THIS LIMITED WARRANTY SUPPORTS TO YOU IF YOU REQUEST SUCH SUPPORTS NOT AT THE COUNTRY AT WHICH THE PRODUCT WAS ORIGINALLY PURCHASED. THE COUNTRY AT WHICH THE PRODUCT WAS ORIGINALLY PURCHASED SHALL BE DETERMINED BASED ON THE SYNOLOGY'S INTERNAL RECORDS.

Local Consumer Protection Laws. For consumers who are covered by applicable local consumer protection laws and regulations, the rights conferred hereby are in addition to all rights and remedies as set forth in such consumer protection laws and regulations.

#### Section 1. Products

- (a) "Products" refer to New Products or Refurbished Products.
- (b) "New Product" means the Synology-branded hardware product and Synology-branded accessories contained in the original packaging Customer bought from an authorized Synology distributor or reseller. You may see our "New Product" at Product Support Status.
- (c) "Refurbished Product" means all Synology products which have been refurbished by Synology's affiliate or an authorized Synology distributor or reseller, not including those sold as "as is" or with "no warranty" by anyone.
- (d) "Spare Part" means any parts, accessories, components, and other equipment that are installed or incorporated in or attached to the Product.
- (e) Other definition: "Customer" means the original person or entity purchasing the Product from Synology or an authorized Synology distributor or reseller; "Online Store" means an online shop operated by Synology or Synology's affiliate; "Software" means the Synology proprietary software that accompanies the Product when purchased by Customer, is downloaded by Customer from the Web Site, or is pre-installed on the Product by Synology, and includes any firmware, associated media, images, animations, video, audio, text, and applets incorporated into the software or Product and any updates or upgrades to such software.

### Section 2. Warranty Period

- (a) "Warranty Period": The warranty period commences on the purchase date is shown on the purchase receipt or invoice to be presented by Customer and ending at the day after the end of the Warranty Period for each New Product. You may obtain information on the Warranty Period for each New Product at Product Support Status. For Spare Part, Warranty Period under normal use is one year (five years for system drives) from the date the Product was purchased. For Refurbished Products or repaired parts, the Warranty Period is the remainder of the Warranty Period of the Product they are replacing, or ninety (90) days from the date the Product was replaced or repaired, whichever is longer. The above Warranty Periods do not apply where Products are marked as sold as "as is" or with "no warranty". In the event that a valid receipt of purchase of our Product or Product invoice is not presented, or is apparently erroneous or falsified, the Warranty Period for the presented Product shall commence from the manufacturing date in accordance with Synology's internal records
- (b) "Extended Warranty Period": For Customer purchasing EW201/EW202 or Extended Warranty Plus optional services for New Products specified in Section 1 (b), the Warranty Period will be extended by two years. For EW201/EW202, you may see the applicable Product models and available regions at <a href="EW201/EW202 Extended Warranty">EW201/EW202 Extended Warranty</a>. For Extended Warranty Plus, you may see the applicable Product models and available regions at <a href="Extended Warranty Plus">Extended Warranty Plus</a>. Customer can only choose either of the optional services.
- (c) "Immediate termination of Warranty Period": For Synology drive products, the Warranty Period will terminate immediately upon the occurrence of either of the following situations: (a) for solid-state drive, its <u>lifespan wear-out indicator</u> equals or exceeds the limit specified in the "product specifications" of the drive attached with the purchased Product; (b) for all drive products, its temperature record equals or exceeds the operating temperature limit of the drive, which is specified in the "product specifications" attached to the purchased Product.

#### Section 3. Limited Warranty and Remedies

3.1 Limited Warranty. Subject to Section 3.2, Synology warrants to the Customer that each Product (a) will be free of material defects in workmanship and (b) under normal use will perform substantially in accordance with Synology's published specifications for the Product during the Warranty Period. Such limited warranty does not apply to the Software contained in the product or purchased by Customer which shall be subject to the accompanying end user license agreement provided with the Product. Synology provides no warranty for Refurbished Product sold as "as is" or with "no warranty".

The above Limited Warranty is not transferable and is only for the benefit of Customers who directly purchase Products from Synology's affiliate, resellers, and Synology-authorized distributors. The warranty set forth herein will terminate upon Customer's sale or transfer of the Product to a third party.

3.2 Exclusions. The foregoing warranties and warranty obligations do not apply to any Product that (a) has been installed or used in a manner not specified or described in the Product, specifications, or its related documents, or in any way misused, abused, or damaged; (b) has been damaged caused by accident, fire, liquid contact, earthquake, other external factor or product use in improper environment; (c) has been disassembled without authorization from Synology; or (d) with cosmetic damage caused by normal wear and tear or otherwise due to the normal aging of the Product, including but not limited to scratches, dents and broken plastic on ports unless failure has occurred due to a defect in materials or workmanship; (e) serial number has been removed or defaced from Product, resulting in not able to identify; (f) has been damaged or out of order because Customer fails to implement any correction, modification, enhancement, improvement or other update made available to Customer by Synology, or because Customer implements, installs or uses any correction, modification, enhancement, improvement or other update made available by any third party; (g) has been damaged, out-of-order, or incompatible due to installation or use with items not provided by Synology other than the hardware, software or other accessory for which the Product is designed.

Please note that each of the above situations shall be subject to the inspection and verification of the Product's appearance and functions by Synology. Synology may refuse to provide any warranty services, including but not limited to product repair, technical support, and product replacement as described in Section 3.3 to any Product under any of the above situations

- 3.3 Warranty Support and Exclusive Remedy. If Customer gives notice of noncompliance with any of the warranties set forth in Section 3.1 within the applicable Warranty Period in the manner set forth below, then, upon verification of the noncompliance by Synology, Synology will, at Synology's option: (a) use commercially reasonable efforts to repair the Product, (b) provide technical support, or (c) replace the noncomplying Product or part thereof upon return of the complete Product in accordance with Section 3.4 The foregoing sets forth Synology's entire liability and Customer's sole and exclusive remedy for any breach of warranty under Section 3.1 or any other defect or deficiency in the Product. Customer will reasonably assist Synology to diagnose and validate any nonconformity with the Product. Please note that the warranty support does not apply to rescue of the data stored in Synology Product or its backup. Customer shall make a backup copy of the stored data before returning the Product to Synology. Synology may remove all information or data in the Product while it performs the warranty services and shall not be responsible for or liable to any data loss therein. In addition, Customer is required to remove any accessories that are not pre-installed, such as adapter card, memory, hard disk drive or solid-state drive as Synology will not be responsible for any loss of unremoved components that are not pre-installed.
- 3.4 Return. For warranty claims, the Customer must return the complete Product to Synology in accordance with this Section 3.4. Any returned Product which (a) has been disassembled (except under the direction of Synology); or from which (b) the serial number has been removed or defaced, causing inability to identify, or which (c) was damaged on the way of return because of improper packaging (including but not limited to scratches and deformation), will be refused and returned to Customer at Customer's expense. Any Product must be returned in the same condition as it was received from Synology to the address designated by Synology, freight pre-paid, in packaging sufficient to protect the contents thereof. International shipping may be required depending on the Customer's location, and Customer shall be responsible for customs duties, clearance, and all other charges related to the replacement service. Customer is responsible for insurance and risk of loss and/or damage with respect to returned items until they are properly received by Synology.
  - 3.4.1 General Replacement Service. Any Product returned by Customer under Section 3.3 must be made in accordance with Synology's then-current replacement procedures with the purchase receipt or invoice. You may see more information on the replacement procedure at <a href="How do I make a warranty claim for my Synology product?">How do I make a warranty claim for my Synology product?</a>
  - 3.4.2 Extended Warranty Plus Service. (Only applicable to the Customer purchasing Extended Warranty Plus)
    (a) Standard Direct Replacement service: Regarding Standard Direct Replacement service, the Customer must return the nonconforming Product recognized by Synology to the designated return service center at Customer's expense first. The replacement will not be shipped until the nonconforming Product is received at Synology's designated return service center.
  - (b) Advanced Direct Replacement service: Regarding Advanced Direct Replacement service, Synology will ship the replacement Product to the address specified by the Customer before the Customer returns the nonconforming Product. Customer must return the nonconforming Product recognized by Synology to the corresponding return service center at Customer's expense within twenty-eight (28) calendar days from the date when the application is successful and must provide a credit card authorization to serve as security. The credit card authorization will be

canceled after Synology has received the nonconforming Product returned by the Customer. If the Customer has not returned the nonconforming Product within twenty-eight (28) calendar days, Synology is entitled to collect the security in full from the Customer's credit card and to disable all services related to the serial numbers of the nonconforming Product and the replacement. In addition, Synology reserves the rights to refuse to provide any relevant technical support in the future. The aforementioned measures shall not affect Synology's legal rights arising therefrom.

- 3.5 Replacement of New Product or Refurbished Product by Synology. If Synology elects to replace any Product under this Warranty set forth in Section 3.1, then Synology will ship a replacement Product at Synology's expense via the shipping method selected by Synology after receipt of the nonconforming Product returned in accordance with Section 3.4 and validation by Synology that the Product does not conform to the warranty. Before the shipment of the Refurbished Product to the Customers, they have been verified to strictly comply with Synology's quality standard. Please note that part of the Refurbished Products would be with certain stain, scratches, or other minor wear and tear. In some countries, Synology may at its own discretion apply the Synology Replacement Service to certain Products, through which Synology will ship a replacement Product to Customer before its receipt of the nonconforming Product returned by Customer ("Synology Replacement Service").
- 3.6 Disclaimer of Warranties. THE WARRANTIES, OBLIGATIONS, AND LIABILITIES OF SYNOLOGY AND THE REMEDIES OF CUSTOMER SET FORTH IN THIS WARRANTY ARE EXCLUSIVE AND IN SUBSTITUTION FOR, AND CUSTOMER HEREBY WAIVES, RELEASES AND DISCLAIMS, ALL OTHER WARRANTIES, OBLIGATIONS AND LIABILITIES OF SYNOLOGY AND ALL OTHER RIGHTS, CLAIMS AND REMEDIES OF CUSTOMER AGAINST SYNOLOGY, EXPRESS OR IMPLIED, ARISING BY LAW OR OTHERWISE, WITH RESPECT TO THE PRODUCT, ACCOMPANYING DOCUMENTATION OR SOFTWARE AND ANY OTHER GOODS OR SERVICES DELIVERED UNDER THIS WARRANTY, INCLUDING, BUT NOT LIMITED TO ANY: (A) IMPLIED WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE OR USE; (B) IMPLIED WARRANTY ARISING FROM COURSE OF PERFORMANCE, COURSE OF DEALING, OR USAGE OF TRADE; (C) CLAIM OF INFRINGEMENT OR MISAPPROPRIATION; OR (D) CLAIM IN TORT (WHETHER BASED ON NEGLIGENCE, STRICT LIABILITY, PRODUCT LIABILITY OR OTHER THEORY). SYNOLOGY MAKES NO GUARANTEE AND SPECIFICALLY DISCLAIMS ANY WARRANTY THAT THE DATA OR INFORMATION STORED ON ANY SYNOLOGY PRODUCT WILL BE SECURE AND WITHOUT RISK OF DATA LOSS. SYNOLOGY RECOMMENDS THAT CUSTOMER TAKES APPROPRIATE MEASURES TO BACK UP THE DATA STORED ON THE PRODUCT. SOME STATES/JURISDICTIONS DO NOT ALLOW LIMITATIONS ON IMPLIED WARRANTIES, SO THE ABOVE LIMITATION MAY NOT APPLY TO CUSTOMER.
- 3.7 Extended Warranty Plus Limitations. (a) The Customer must complete the purchase of the Extended Warranty Plus Service within ninety (90) days following the original purchase date of the new Product. (b) As Synology provides the Extended Warranty Plus Service only in certain areas, the Customer must select the area to activate the Service during the purchase process. If the Customer requests Extended Warranty Plus Service from an area other than the selected area, Synology, at its sole discretion, may not provide Extended Warranty Plus Service; (c) Exceptions to coverage: The Extended Warranty Plus Service does not apply to overseas departments, overseas territories, overseas autonomous regions, and islands other than the main island of the countries and regions.

### Section 4. Limitations of Liability

- 4.1 Force Majeure. Synology will not be liable for, or be considered to be in breach of or default under this Warranty on account of, any delay or failure to perform as required by this Warranty as a result of any cause or condition beyond its reasonable control (including, without limitation, any act or failure to act by Customer).
- 4.2 Disclaimer of Certain Damages. IN NO EVENT WILL SYNOLOGY OR ITS SUPPLIERS BE LIABLE FOR THE COST OF COVER OR FOR ANY INCIDENTAL, INDIRECT, SPECIAL, PUNITIVE, CONSEQUENTIAL OR SIMILAR DAMAGES OR LIABILITIES WHATSOEVER (INCLUDING, BUT NOT LIMITED TO LOSS OF DATA, INFORMATION, REVENUE, PROFIT OR BUSINESS) ARISING OUT OF OR RELATING TO THE USE OR INABILITY TO USE THE PRODUCT, ANY ACCOMPANYING DOCUMENTATION OR SOFTWARE AND ANY OTHER GOODS OR SERVICES PROVIDED UNDER THIS WARRANTY, WHETHER BASED ON CONTRACT, TORT (INCLUDING NEGLIGENCE), STRICT LIABILITY OR OTHER THEORY EVEN IF SYNOLOGY HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES.
- 4.3 Limitation of Liability. SYNOLOGY'S AND ITS SUPPLIERS' LIABILITY ARISING OUT OF OR RELATING TO THE USE OR INABILITY TO USE THE PRODUCT, ANY ACCOMPANYING DOCUMENTATION OR SOFTWARE AND ANY OTHER GOODS OR SERVICES PROVIDED UNDER THIS WARRANTY IS LIMITED TO THE AMOUNT ACTUALLY PAID BY CUSTOMER FOR THE PRODUCT REGARDLESS OF THE AMOUNT OF DAMAGES CUSTOMER MAY INCUR AND WHETHER BASED ON CONTRACT, TORT (INCLUDING NEGLIGENCE), STRICT LIABILITY OR OTHER THEORY. The foregoing disclaimer of certain damages and limitation of liability will apply to the maximum extent permitted by applicable law. The laws of some states/jurisdictions do not allow exclusion or limitation of certain damages. To the extent that those laws apply to the Product, the exclusions and limitations set forth above may not apply to Customer.

#### Section 5. Miscellaneous

5.1 Proprietary Rights. The Product and any accompanying Software and documentation provided with the Product include

proprietary and intellectual property rights of Synology and its third party suppliers and licensors. Synology retains and reserves all right, title, and interest in the intellectual property rights of the Product, and no title to or ownership of any intellectual property rights in or to the Product, any accompanying Software or documentation and any other goods provided under this Warranty is transferred to Customer under this Warranty. Customer will (a) comply with the terms and conditions of the Synology end user license agreement accompanying any Software furnished by Synology or an authorized Synology distributor or reseller; and (b) not attempt to reverse engineer any Product or component thereof or accompanying Software or otherwise misappropriate, circumvent or violate any of Synology's intellectual property rights.

- 5.2 Assignment. Customer will not assign any of its rights under this Warranty directly, by operation of law or otherwise, without the prior written consent of Synology.
- 5.3 No Additional Terms. Except as expressly permitted by this Warranty, neither party will be bound by, and each party specifically objects to, any term, condition or other provision that conflicts with the provisions of this Warranty that is made by the other party in any purchase order, receipt, acceptance, confirmation, correspondence or otherwise, unless each party specifically agrees to such provision in writing. Further, if this Warranty conflicts with any terms or conditions of any other agreement entered into by the parties with respect to the Product, this Warranty will prevail unless the other agreement specifically references the sections of this Warranty that it supersedes.
- 5.4 Applicable Law. Unless explicitly prohibited by local law, this Warranty is governed by the laws of the State of Washington, U.S.A. for the Customers residing within the United States; and by the laws of the Republic of China (Taiwan) for Customers not residing within the United States, without regard to any conflict of law principles to the contrary. The 1980 U.N. Convention on Contracts for the International Sale of Goods or any successor thereto does not apply.
- 5.5 Dispute Resolution. Any dispute, controversy or claim arising out of or relating to this Warranty, the Product or services provided by Synology with respect to the Product or the relationship between Customers residing within the United States and Synology will be resolved exclusively and finally by arbitration under the current commercial rules of the American Arbitration Association, except as otherwise provided below. The arbitration will be conducted before a single arbitrator, and will be limited solely to the dispute between Customer and Synology. The arbitration, or any portion of it, will not be consolidated with any other arbitration and will not be conducted on a class-wide or class action basis. The arbitration shall be held in King County, Washington, U.S.A. by submission of documents, by telephone, online or in person as determined by the arbitrator at the request of the parties. The prevailing party in any arbitration or legal action occurring within the United States or otherwise shall receive all costs and reasonable attorneys' fees, including any arbitration fee paid by the prevailing party. Any decision rendered in such arbitration proceedings will be final and binding on the parties, and judgment may be entered thereon in any court of competent jurisdiction. Customer understands that, in the absence of this provision, Customer would have had a right to litigate any such dispute, controversy or claim in a court, including the right to litigate claims on a class-wide or class-action basis, and Customer expressly and knowingly waives those rights and agrees to resolve any disputes through binding arbitration in accordance with the provisions of this Section 5.5. For Customers not residing within the United States, any dispute, controversy or claim described in this section shall be finally resolved by arbitration conducted by three neutral arbitrators in accordance with the procedures of the R.O.C. Arbitration Law and related enforcement rules. The arbitration shall take place in Taipei, Taiwan, R.O.C., and the arbitration proceedings shall be conducted in English or, if both parties so agree, in Mandarin Chinese. The arbitration award shall be final and binding on the parties and may be enforced in any court having jurisdiction. Nothing in this Section shall be deemed to prohibit or restrict Synology from seeking injunctive relief or seeking such other rights and remedies as it may have at law or equity for any actual or threatened breach of any provision of this Warranty relating to Synology's intellectual property rights.
- 5.6 Attorneys' Fees. In any arbitration, mediation, or other legal action or proceeding to enforce rights or remedies under this Warranty, the prevailing party will be entitled to recover, in addition to any other relief to which it may be entitled, costs and reasonable attorneys' fees.
- 5.7 Export Restrictions. You acknowledge that the Product may be subject to U.S. export restrictions. You will comply with all applicable laws and regulations that apply to the Product, including without limitation the U.S. Export Administration Regulations.
- 5.8 Severability. If any provision of this Warranty is held by a court of competent jurisdiction to be invalid, illegal, or unenforceable, the remainder of this Warranty will remain in full force and effect.
- 5.9 Entire Agreement. This Warranty constitutes the entire agreement, and supersedes any and all prior agreements, between Synology and Customer related to the subject matter hereof. No amendment, modification or waiver of any of the provisions of this Warranty will be valid unless set forth in a written instrument signed by the party to be bound thereby.
- 5.10 Extended Warranty Plus Service Payment and Termination. (a) Upon verifying the purchase order by Synology, the Customer shall immediately pay all charges and tax. Synology reserves the right to refuse to provide the Extended Warranty Plus Service to Customer who are ineligible or who are under statutory age. (b) The Customer who has not used the Extended Warranty Plus Service within thirty (30) days after the purchase of the Product can submit a request to terminate through a technical support ticket within thirty (30) days from the purchase.

## **FCC Declaration of Conformity**

This device complies with Part 15 of the FCC Rules. Operation is subject to the following two conditions: (1) this device may not cause harmful interference, and (2) this device must accept any interference received, including interference that may cause undesired operation.

この装置は、クラス A 機器です。この装置を住宅環境で使用すると電波妨害を引き起こすことがあります。この場合には使用者が適切な対策を講ずるよう要求されることがあります。 VCCI - A



## **Declaration Letter of REACH & RoHS Compliance**

### **About REACH**

In June 2007, the European Union Regulation (EC) 1907/2006 concerning the Registration, Evaluation, Authorization and Restriction of Chemicals (REACH) was first released. Under this Regulation, manufacturers are required to gather information on the properties of their chemical substances, which will allow their safe handling, and to register the information to European Chemicals Agency (ECHA). The aim is to improve the protection of human health and the environment through the better and earlier identification of the intrinsic properties of chemical substances.

### About RoHS

RoHS Directive (EU Directive 2002/95/EC) was issued in 2002, restricted the use of the six chemicals lead, cadmium, mercury, and hexavalent chromium, as well as flame retardants polybrominated biphenyls (PBBs) and polybrominated dipheny ethers (PBDEs). Under this directive, manufacturers are required to replace these chemicals in their products with less hazardous alternatives.

Then RoHS 2.0 (Directive 2011/65/EU) has replaced Directive 2002/95/EC since January 3, 2013. In 2015, EU commission publishes Directive (EU) 2015/863 to amend Annex II to EU RoHS 2 (Directive 2011/65/EU) to add 4 phthalates (DEHP, BBP, DBP and DIBP) onto the list of restricted substances from 22 July 2019.

### **REACH and RoHS Compliance**

Synology meets all requirements of the REACH Regulation and is compliant to all implemented RoHS type regulations worldwide. To the best of Synology's knowledge, all Synology products in production (including finished



products, spare parts, and packaging materials) are in compliance with the requirements of the Regulation and/or Directives. Synology continues to monitor, influence, and develop our processes to comply with any proposed changes to the Regulation and/or Directives.